

## Two "equivalent" characterizations of suprema

(In EWD1090, Edsger W Dijkstra explores under what conditions he can prove  $p = q$  for  $p$  and  $q$  satisfying

$$\langle \forall w :: p \leq w \equiv x \leq w \wedge y \leq w \rangle \text{ and}$$

$$\langle \forall w :: w \leq q \equiv w \leq x \vee w \leq y \rangle .$$

The main purpose of that note was to show systematic proof design, not chasing results.

In this - our - note, we generalize Dijkstra's problem statement, and our main target is the result.)

In what follows relation  $\leq$  is a partial order: it is reflexive, transitive, and antisymmetric. Furthermore, for some fixed predicate  $R$  we deem to exist  $\langle \uparrow x : R.x : x \rangle$ , commonly called the "supremum of  $R$ ". We will abbreviate this expression to  $\uparrow R$ .

Now, we might postulate of  $\uparrow R$

$$A: \quad \langle \forall y :: \uparrow R \leq y \equiv \langle \forall x :: R.x : x \leq y \rangle \rangle .$$

But someone else might postulate

$$E: \quad \langle \forall y :: y \leq \uparrow R \equiv \langle \exists x . R.x : y \leq x \rangle \rangle .$$

The question that arises is how  $A$  and  $E$  are connected. It turns out that they are

connected via property

$S: \mathbb{R}, (\uparrow\mathbb{R})$

by what is our main theorem in this note:

Theorem  $A \wedge S \equiv E$

(End of Theorem.)

Remark Property  $S$  states that  $\uparrow$  is a "selector" on  $\mathbb{R}$ . The most well-known example of such a selector is the maximum operator for numbers. And in that case,  $A$  and  $E$  are equivalent characterizations for the maximum.

(End of Remark.)

\* \* \*

Before we start proving the theorem we first give two immediate consequences of  $A$  and  $E$ , which come in handy. They arise from instantiating both  $A$  and  $E$  with  $y := \uparrow\mathbb{R}$ :

Cor A:  $\langle \forall x: \mathbb{R}. x : x \leq \uparrow\mathbb{R} \rangle$

Cor E:  $\langle \exists x: \mathbb{R}. x : \uparrow\mathbb{R} \leq x \rangle$ .

Now we are ready for the

Proof We subsequently show

$$A \wedge S \Rightarrow E, \quad E \Rightarrow A, \quad \text{and} \quad E \Rightarrow S.$$

The theorem then follows.

$A \wedge S \Rightarrow E$ :

We prove the equivalence in  $E$  by mutual implication

ping for  $E$ :

$$\begin{aligned} & \langle \exists x: \mathbb{R}.x : y \leq x \rangle \\ \Leftarrow & \quad \{ x := \uparrow \mathbb{R} \} \\ & \mathbb{R}.(\uparrow \mathbb{R}) \wedge y \leq \uparrow \mathbb{R} \\ \equiv & \quad \{ S \} \\ & y \leq \uparrow \mathbb{R} \end{aligned}$$

pong for  $E$ :

$$\begin{aligned} & y \leq \uparrow \mathbb{R} \\ \Leftarrow & \quad \{ \text{transitivity of } \leq \} \\ & \langle \exists x :: y \leq x \wedge x \leq \uparrow \mathbb{R} \rangle \\ \Leftarrow & \quad \{ \text{cor } A : x \leq \uparrow \mathbb{R} \Leftarrow \mathbb{R}.x \} \{ \text{trading} \} \\ & \langle \exists x: \mathbb{R}.x : y \leq x \rangle \end{aligned}$$

$E \Rightarrow A$ :

We prove the equivalence in  $A$  by a series of equivalence preserving steps.

$$\begin{aligned} & \uparrow \mathbb{R} \leq y \\ \equiv & \quad \{ \text{indirect inequality (using} \\ & \quad \text{reflexivity and transitivity of } \leq \} \} \\ & \langle \forall z: z \leq \uparrow \mathbb{R} : z \leq y \rangle \end{aligned}$$

$$\begin{aligned}
&\equiv \{ E \text{ on the range} \} \\
&\equiv \langle \forall z: \langle \exists x: R.x : z \leq x \rangle : z \leq y \rangle \\
&\equiv \{ \text{range disjunction} \} \\
&\equiv \langle \forall x: R.x : \langle \forall z: z \leq x : z \leq y \rangle \rangle \\
&\equiv \{ \text{indirect inequality} \} \\
&\equiv \langle \forall x: R.x : x \leq y \rangle .
\end{aligned}$$

$E \Rightarrow S$  :

In view of the fact that  $E \Rightarrow A$  - just shown - we might as well prove the formally weaker  $E \wedge A \Rightarrow S$  :

$$\begin{aligned}
&S \\
&\equiv \{ \text{definition of } S \} \\
&R. (1R) \\
&\Leftarrow \{ \text{predicate calculus} \} \\
&\langle \exists x: R.x : x = 1R \rangle \\
&\Leftarrow \{ \leq \text{ is antisymmetric} \} \\
&\langle \exists x: R.x : x \leq 1R \wedge 1R \leq x \rangle \\
&\equiv \{ \text{Cor-A: } R.x \Rightarrow x \leq 1R \} \\
&\langle \exists x: R.x : 1R \leq x \rangle \\
&\equiv \{ \text{Cor-E verbatim} \} \\
&\text{true} .
\end{aligned}$$

(End of Proof.)

Finally, two corollaries of our theorem are worth being mentioned. One is

$$S \Rightarrow (A \equiv E) ,$$

which is immediate from the theorem. The other is

$$A \wedge E \Rightarrow S ,$$

which follows from

$$\begin{aligned} & A \wedge E \\ \equiv & \{ \text{Theorem} \} \\ & A \wedge A \wedge S \\ \Rightarrow & S \quad \{ \} \end{aligned}$$

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14 November 1994

Postscript We now also understand why  
A is the usual definition for suprema.  
Of A and E the former is the least  
demanding.  
(End of Postscript.)