

Concurrent Zipping (for our files)

dedicated to Johan L. Lukkien

When Johan Lukkien saw our problem of "Concurrent Vector Writing", he concocted a much more intriguing programming exercise which he solved in his own way. The purpose of this note is to show him a purely formal derivation, rendered in the formalism that we (WF+AvGJ) happen to be familiar with. (But we will not explain this formalism here.)

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The computation proper that we start with is the two-component multiprogram given by

Pre: $i=0 \wedge j=0 \quad (\wedge 0 \leq N)$	
A: $\underline{\text{do}} \ i \neq N$ $\rightarrow x.i := 0$ $\downarrow i := i + 1$ <u>od</u>	B: $\underline{\text{do}} \ j \neq N$ $\rightarrow x.j := 0$ $\downarrow j := j + 1$ <u>od</u>
Post: ? $\langle \forall k: (0 \leq k < N: x.k = k \bmod 2) \rangle$	

Approximation 0 (= Specification)

We are asked to synchronize the two components such that postcondition Post will be established.

\* \* \*

To that end we choose

$$\text{PA: } \langle \forall k : \text{even.}k \wedge k < i : x.k = 0 \rangle \quad \text{, and}$$

$$\text{PB: } \langle \forall k : \text{odd.}k \wedge k < j : x.k = 1 \rangle$$

to become system invariants. Then the result follows, if both components terminate.

By symmetry we will focus on just PA, in what follows.

Condition PA can only be violated by  $i := i + 1$  in A and by  $x.j := 1$  in B.

Re " $i := i + 1$ " in A

$$\begin{aligned} & (i := i + 1). \text{PA} \\ \equiv & \quad \{\text{substitution}\} \\ & \langle \forall k : \text{even.}k \wedge k < i + 1 : x.k = 0 \rangle \\ \equiv & \quad \{\text{predicate calculus}\} \\ & \text{PA} \wedge (\text{odd.}i \vee x.i = 0) \end{aligned}$$

Therefore we require

$$\{ ? \text{ odd.}i \vee x.i = 0 \} \quad i := i + 1$$

Re " $x.j := 1$ " in B

$$\begin{aligned} & (x.j := 1). \text{PA} \\ \Leftarrow & \quad \{ j \text{ outside range of } k \text{ in PA} \} \\ & \text{PA} \wedge (\text{odd.}j \vee i < j) \end{aligned}$$

Therefore we require

$$\{ ? \text{ odd.}j \vee i < j \} \quad x.j := 1$$

End Re's

Thus we arrive at our next approximation, viz.

Pre:  $i = 0 \wedge j = 0$

A:  $\underline{\text{do}} \ i \neq N \rightarrow$

$x.i := 0$

$\quad ; \{ ? \text{odd}.i \vee x.i = 0, \text{ Note } 0 \}$   
 $i := i + 1$

od

B:  $\underline{\text{do}} \ j \neq N \rightarrow$

$\{ ? \text{odd}.j \vee i \leq j, \text{ Note } 1 \}$

$x.j := 1$

$j := j + 1$

od

Inv: PA:  $(\forall k: \text{even}.k \wedge k < i: x.k = 0)$

Approximation 1

\* \* \*

Next we tackle the two remaining (queried) assertions.

Note 0 "odd.i  $\vee$  x.i = 0" in A

L: correct from the preceding  $x.i := 0$

G:  $(x.j := 1). (\text{odd}.i \vee x.i = 0)$

= {substitution}

$\text{odd}.i \vee (i \neq j \wedge x.i = 0)$

$\Leftarrow \{ \text{target assertion odd}.i \vee x.i = 0 \text{ itself} \}$

$\text{odd}.i \vee i \neq j$

$\Leftarrow \{ \text{calculus} \}$

$\text{odd}.i \vee i < j$

We supply our target assertion  
with co-assertion

$\text{odd.}i \vee i < j$ .

Note 1: "odd.  $j \vee i \leq j$ " in B

We decide to establish this assertion by requiring it to be a system invariant.<sup>F</sup>

End of Notes.

Thus, we have arrived at

Pre:	$i = 0 \wedge j = 0$
A:	$\underline{\text{do}} \ i \neq N \rightarrow$ $x.i := 0$ $; \{ \text{odd.}i \vee x.i = 0 \} \{ ? \text{odd.}i \vee i < j, \text{ Note 0} \}$ $i := i + 1$ <u>od</u>
B:	$\underline{\text{do}} \ j \neq N \rightarrow$ $x.j := 1$ $; \ j := j + 1$ <u>od</u>
Inv:	$\text{PA: } (\forall k: \text{even.}k \wedge k < i : x.k = 0)$ $Z: ? \text{odd.}j \vee i \leq j, \text{ Note 1}$

Approximation 2

\* \* \*

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F This is no rabbit. The decision is imposed on us because disjunct  $i \leq j$  is an invariant of B

We just proceed.

Note 0 "odd.i  $\vee i < j$ " in A

G: correct, by Widening

L: by prefixing statement  $x.i := 0$  in A  
with guarded skip

if odd.i  $\vee i < j \rightarrow$  skip fi

Note 1 Invariant "Z: odd.j  $\vee i \leq j$ "

Initially: ok

Re " $i := i + 1$ " in A

$$\begin{aligned}
 & (i := i + 1) \cdot Z \\
 &= \{ \text{substitution} \} \\
 &\Leftarrow \text{odd.j } \vee i < j \\
 &\Leftarrow \{ \text{a bit of fancy calculus} \} \\
 &\quad (\text{odd.i } \vee i < j) \wedge (\text{odd.j } \vee i \leq j) \\
 &= \{ \text{definition Z} \} \\
 &\quad (\text{odd.i } \vee i < j) \wedge Z
 \end{aligned}$$

The first conjunct is pre-assertion of  $i := i + 1$ . So, we are done

Re " $j := j + 1$ " in B

$$\begin{aligned}
 & (j := j + 1) \cdot Z \\
 &= \{ \text{substitution} \} \\
 &\Leftarrow \text{even.j } \vee i \leq j + 1 \\
 &\Leftarrow \{ \text{predicate calculus} \}^F \\
 &\quad i \leq j + 1
 \end{aligned}$$

and we decide  $i \leq j + 1$  to be a system invariant.

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F This move is a little rabbitish.

End of Notes

We summarize the above design, and add a number of "outdented" assertions in A for demonstrating the invariance of  $i \leq j+1$

Pre:  $i = 0 \wedge j = 0$

A:  $\text{do } i \neq N \rightarrow$

$\{\text{even.}i \vee i \leq j\}$

if odd.}i \vee i < j \rightarrow \text{skip fi}

$\{i \leq j\}$

;  $x.i := 0$

;  $\{\text{odd.}i \vee x.i = 0\} \{ \text{odd.}i \vee i < j \}$

$\{i \leq j\}$

$i := i + 1$

$\{\text{even.}i \vee i \leq j\}$ , locally following from  
pre-assertion  $\text{odd.}i \vee i < j\}$

od

B:  $\text{do } j \neq N \rightarrow$

$x.j := 1$

;  $j := j + 1$

od

Inv: PA:  $\langle \forall k: \text{even.}k \wedge k < i: x.k = 0 \rangle$ ,

Z:  $\text{odd.}j \vee i \leq j$ ,  
 $i \leq j + 1$ , see below

Approximation 3

The outdented assertions are all globally correct  
- Widening -. Their local correctness is readily

established. The invariance of  $i \leq j+1$  follows from pre-assertion  $i \leq j$  of  $i := i + 1$

\* \* \*

Finally, we have to handle PB, the other original target invariant. By symmetry, this is done at once by replacing in A the quadruple  $(i, j, \text{odd}, 0)$  with  $(j, i, \text{even}, 1)$ . The final, unannotated version reads

Pre: $i = 0 \wedge j = 0$
A: $\text{do } i \neq N \rightarrow$ $\quad \text{if odd.}i \vee i < j \rightarrow \text{skip fi}$ $\quad ; \quad x.i := 0$ $\quad ; \quad i := i + 1$ $\quad \underline{\text{od}}$
B: $\text{do } j \neq N \rightarrow$ $\quad \text{if even.}j \vee j < i \rightarrow \text{skip fi}$ $\quad ; \quad x.j := 1$ $\quad ; \quad j := j + 1$ $\quad \underline{\text{od}}$
Post: $\langle \forall k :: x.k = k \bmod 2 \rangle$

Solution

\* \* \*

Do both components terminate? They do, because

- there is no danger for the two components to get stuck simultaneously in their guarded skips:

$$\begin{aligned}
 & \text{odd.}i \vee i < j \vee \text{even.}j \vee j < i \\
 \equiv & \quad \{\text{algebra}\} \\
 & i \neq j \vee \text{odd.}i \vee \text{even.}j \\
 \equiv & \quad \{\text{modern algebra}\} \\
 & \text{true.}
 \end{aligned}$$

- thus at least one component terminates its repetition. Let this be A. Then the state satisfies  $i = N$  so that the guard in B's guarded skip equates true.

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We think that

- Johan's problem is a nice, but not a trivial one
- With our assertion-driven method for deriving multiprograms, we cannot do much shorter, crisper, or better than what has been recorded in this note.

W.H.J. Feijen  
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